

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application f: Alves, et al.	
Serial No.: Unknown	Group Art Unit: Unknown
Filed:	Examiner: Unknown
Title: Systems, Methods, and Computer Program Products to Integrate User-defined Operations Into a Database Transaction	

**INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

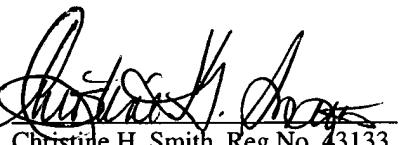
It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

EXPRESS MAIL CERTIFICATE	
I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.	
Date of Deposit:	<u>Nov 18, 2003</u>
Person mailing paper/fee:	<u>Jeanette Souza</u>
Signature:	<u>Christie H. Smith</u>

Respectfully Submitted,


 Christie H. Smith, Reg. No. 43133
 IBM Corp, Intellectual Property Law
 555 Bailey Avenue, J46/G4
 San Jose, CA 95141
 Telephone: (408) 463-5671
 Fax: (408) 463-4827

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No. SVL920030047US1		Serial No. Unknown			
		Applicant (s): Alves, et al.					
		Filing Date:		Group Art Unit: Unknown			
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	5553234	09/03/96	Cotner et al	395	182.14	09/23/94
	AB	5689633	11/18/97	Cotner et al	395	182.14	06/07/95
	AC	5953719	09/14/99	Kleewein et al	707	8	09/15/97
	AD	6138169	10/24/00	Freund et al	709	313	04/22/97
	AE	6360228 B1	03/19/02	Sundara et al	707	102	06/02/99
	AF	2002/0194244 A1	12/19/02	Raventos	709	101	06/01/01
	AG						
FOREIGN PATENT DOCUMENTS							
		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA	Technical Standard, X/Open CAE Specification, <i>Distributed Transaction Processing: The XA Specification</i> , X/Open Company Limited, X/Open Document Number: XO/CAE/91/300, December 1991					
	CB	Technical Standard, X/Open CAE Specification, <i>Distributed Transaction Processing: The TX (Transaction Demarcation) Specification</i> , X/Open Company Limited, X/Open Document Number: C504, April 1995.					
	CC	Guide, X/Open Guide, <i>Distributed Transaction Processing: Reference Model, Version 3</i> , X/Open Company Limited, X/Open Document Number: G504, February 1996					
	CD						
	CE						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).							